

Type of investigation or risk assessment and agency responsible

(From West Midlands Adult Safeguarding Procedures)

Type of investigation/risk assessment	Agency responsible
Criminal (including assault, theft, fraud, hate crime, domestic violence and abuse or wilful neglect of a person lacking capacity)	Police
Domestic violence – serious risk of harm	Police coordinate the MARAC process
Fitness of registered service provider	Care Inspectorate Wales (CIW)
Unresolved serious complaint in health care setting	CIW, Health Service Ombudsman
Breach of detained persons rights within the MCA 2007 Deprivation of Liberty Safeguards (DoLS)	CIW, Local authority, University Health Board (UHB), Office of Public Guardian (OPG)/Court of Protection.
Breach of terms of employment/ disciplinary procedures	Employer
Breach of professional code of conduct	Professional regulatory body
Breach of health and safety legislation and regulations	Health and Safety Executive (HSE)
Complaint regarding failure of service provision (including neglect of provision of care and failure to protect one service user from the actions of another)	Manager/proprietor of service/ complaints department Ombudsman (if unresolved through complaints procedure)
Breach of contract to provide care and support	Service commissioner (e.g. social services)
Assessment of need for health and social care provision (service users and carers)	Social services/UHB
Access to health and social care services to reduce the risk of abuse/neglect	Social services/UHB/
Misuse of enduring or lasting power of attorney or misconduct of a court-appointed deputy	Office of the Public Guardian/ Court of Protection/police
Inappropriate person making decisions about the care and wellbeing of an adult at risk who does not have mental capacity to make decisions about their safety and which are not in their best interests	Office of the Public Guardian/ Court of Protection
Misuse of appointeeship or agency	Department of Work and Pensions (DWP)
Antisocial behaviour (e.g. harassment, nuisance by neighbours)	Community safety team, police

Evidence from the investigation should be submitted in a written investigation report to the adult protection conference.